



#C/R
0100.03

Confirmation No.: 4738
Due Date: November 30, 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Mark E. Johnson et al. Examiner: Not yet assigned
Serial No.: 10/054,488 Group Art Unit: 1646
Filed: January 22, 2002 Docket: G&C 126.4-US-U1
Title: MICROSPHERE DELIVERY OF MUCIN PEPTIDES

RECEIVED

NOV 26 2002

TECH CENTER 1600/2900

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on November 18, 2002.

By: 

Name: Karen S. Canady

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

We are transmitting herewith the attached:

- ☐ Transmittal sheet, in duplicate, containing a Certificate of Mailing under 37 CFR 1.8.
- ☐ Supplemental Information Disclosure Statement and Form PTO-1449.
- ☐ Cited Reference(s).
- ☐ Other Enclosures: Copy of International Search Report for International Application No. PCT/US02/01852.
- ☐ Return postcard.

Please consider this a **PETITION FOR EXTENSION OF TIME** for a sufficient number of months to enter these papers, if appropriate.

Please charge any additional fees or credit any overpayment to Deposit Account No. 50-0494 of Gates & Cooper LLP. A duplicate of this paper is enclosed.

GATES & COOPER LLP

Howard Hughes Center
6701 Center Drive West, Suite 1050
Los Angeles, CA 90045
(310) 641-8797

By: 

Name: Karen S. Canady

Reg. No.: 39,927

KSC/dr

(PTO TRANSMITTAL - GENERAL)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Mark E. Johnson et al. Examiner: Not yet assigned
Serial No.: 10/054,488 Group Art Unit: 1646
Filed: January 22, 2002 Docket: G&C 126.4-US-U1
Title: MICROSPHERE DELIVERY OF MUCIN PEPTIDES

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on November 18, 2002.

By: 

Name: Karen S. Canady

SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT (37 C.F.R. §1.97(c))

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. The references were recently cited in an International Search Report.

This statement should be considered because it is submitted less than three months after the mailing date of a Communication from a foreign patent office in which the aforementioned items of information were cited, but before the mailing date of either a final action under 37 C.F.R. § 1.113, or a Notice of Allowance under 37 C.F.R. § 1.311. No fee is due as this statement is submitted as certified below under 37 C.F.R. §1.97(c)(1) or (2) by the undersigned.

Certification Under 37 C.F.R. §1.97(e)

In accordance with 37 C.F.R. §1.97(c) or §1.97(d), the undersigned hereby certifies that this statement is submitted wherein each item of information listed on the enclosed Form 1449 was

TECH CENTER 1600/2900

NOV 26 2002

RECEIVED

cited in a communication from a foreign patent office in a counterpart foreign application within three months of filing this statement (certification under 37 C.F.R. §1.97(e)(1)).

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art". Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

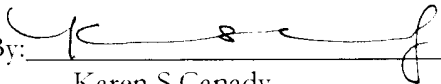
Please direct any response or inquiry to the below-signed attorney at (310) 641-8797.

Respectfully submitted,

GATES & COOPER LLP
Attorneys for Applicant(s)

Howard Hughes Center
6701 Center Drive West, Suite 1050
Los Angeles, California 90045
(310) 641-8797

Date: November 18, 2002

By: 
Karen S. Canady
Reg. No.: 39,927

KSC/dr

Form 1449*	Docket Number: G&C 126.4-US-U1	Application Number: 10,054,488
INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION	Applicant: Mark E. Johnson et al.	
	Filing Date: January 22, 2002	Group Art Unit: 1646



U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

RECEIVED

NOV 26 2002

TECH CENTER 1600/2900

FOREIGN PATENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO 95/03825 A	02/09/95	PCT				
	WO 98/10783 A	03/19/98	PCT				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Newman, Kimberly D. et al., "Delivery of MUC1 mucin peptide by poly (d, l-lactic-co-glycolic acid) microspheres induces Type 1 T-helper immune response", Database CA Online, Chemical Abstracts services, Columbus, Ohio, Database Accession No. 129:280894 CA XP-002217048 abstract & Journal of Pharmaceutical Sciences, 1998, 87(11), pages 1421-1427.

EXAMINER:	DATE CONSIDERED:
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	